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Writing to Win: What Makes an Effective Appellate Brief

In civil litigation, the trial court's judgment is often not the last word. If you lose at that the trial court, you may still have a chance to prevail if you can convince the appellate court that the trial court judge reached the wrong decision. The appellate process is very different from what happens in the trial court. There is no jury and no trial. The main tools of advocacy in the appellate court are the appellate brief and oral argument.^[1]

In this article, we discuss how to effectively draft an appellate brief.^[2] To effectively persuade the appellate court to reverse the lower court's judgment, the appellate brief should not be just a summary of what happened at trial or a regurgitation of the arguments below. The brief should distill complex issues into clear arguments, making it simple for the appellate judges to understand how the trial court or jury made a mistake and guide the appellate judges inevitably to the desired outcome. Or, if you are defending a decision below, the best briefs explain clearly and succinctly how the trial court was correct and bolster the trial court's decision or the jury's verdict.

Success depends on more than citing precedent or following formatting rules. A winning brief requires clear legal reasoning and structure.

An effective brief begins with the end in mind: A clear statement of what you want the court to do. Are you asking for a reversal, a remand, or a modification of the lower court's decision? Be clear in asking for this result at the beginning of your brief and structure the rest of your brief so that this result appears inevitable. For example, even the questions on appeal should be stated clearly, and answered in the affirmative, so that the appellate judges are agreeing with your positions from the beginning. The best appellate briefs build every section around a single, coherent case theory, from the questions presented to the conclusion.

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reframed. The appellate court will not revisit the credibility of witnesses or rehear testimony. But how those facts are framed, and which ones are emphasized, can shape the legal argument.

You need to explain (in a respectful way!) how the trial court erred, but you cannot re-litigate the facts. You are stuck with the closed record. It is essential that you highlight where the law was misapplied or misinterpreted.

You are not telling a new story, but telling in a way that emphasizes the facts and the law that lead to your desired result.

You must zero in on the legal error: A brief must clearly explain what went wrong legally. Not every unfavorable ruling will be winnable on appeal. Whether the issue is a misinterpretation of the law, an abuse of discretion, or a procedural violation, the focus must remain on the legal error that affected the outcome.

Focus. Effective briefs avoid scattershot arguments. Raising too many issues may dilute your strongest points. The best appellate briefs are focused, often zeroing in on one or two key legal errors that offer the most straightforward path to reversal or remand. This can be a little scary: if you do not raise an issue in your brief on appeal, it is waived. But focusing on what really matters instead of throwing in the kitchen sink helps the judges see what matters and come to the right legal conclusion.

Less is more: Briefs should be ruthlessly edited. Every word should earn its place. That means editing for clarity, not just correctness. Avoid redundancy. Cut unnecessary qualifiers. Simplify sentence structure. Use active voice. Read your brief aloud since it is often easier to hear what is not working than to see it.

A strong brief uses headings, subheadings, and topic sentences to guide the reader through the argument. Appellate judges are busy. They read quickly, often scanning for the core of an argument. Structure and organization are the tools of persuasion. Each section should build logically on the last. Transitions should be smooth. Clarity matters. Your headings make your argument.

The introduction and summary of the argument deserve special attention. First impressions count since many judges may decide how they feel about a case based on the first few pages. Use those pages to frame the stakes, clarify the legal issue, and signal the strength of your position.

Write with precision and purpose: The goal is not to sound impressive but to be understood. The most persuasive briefs are written without jargon and unnecessary complexity. Precision also applies to citations. Supporting your position with accurate, on-point precedent builds credibility. And don't ignore case law unfavorable to your case. Instead address it and distinguish it.

Know your audience: Every appellate court has its own rules, preferences, and personalities. Read local rules closely. Understand what the court has said in similar cases. Know which courts' opinions courts are valued for precedential value by the court you are before. For example, the New York State Appellate Division has four departments; it is best to cite to cases from the First Department when your appeal is before the First Department. When your appeal is before the U.S. Court of Appeals for the Second Circuit, citing to cases from a trial level state court in Florida might be less persuasive. And it's always good to remind the Court if one of its sitting judges authored a decision on which your argument relies or depends.

In the end, an appellate brief is not just a legal document – it is your client's second (and maybe final) chance. You must combine deep legal knowledge with crisp, persuasive writing to make it count. Reframe the facts, focus on error, argue clearly, and write with purpose. The goal isn't just to explain the case. It's to win.

[1] To find out more about the appellate process itself, please refer to <u>Beyond the Verdict: What Every Litigant Should Know About the Appellate</u> <u>Process</u>

[2] We will provide some tips on effective oral advocacy in a subsequent article.